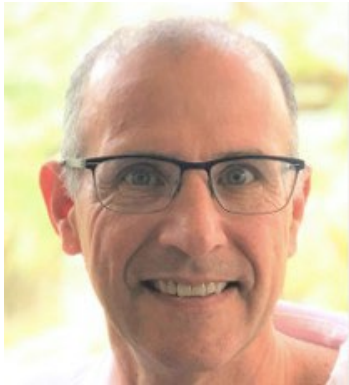

Mr. Michael K. Sullivan – Director, Technology Management, C&A Consulting LLC



Background: Mr. Michael K. Sullivan ('Mike') is a senior Technology Management / IT Infrastructure consultant, having served as CTO of the Wealth Management division of a global data intelligence provider that delivers critical information in the financial, risk and IP markets. In this role, he managed services for the world's largest retail Financial Services private cloud infrastructure with \$3Tn+ assets under administration. A strong leader with a proven track record, Mr. Sullivan specializes in the complex integration of products, technology and services in mission-critical situations and driving transformation to improve overall profitability and mitigate risk for organizations.

Industry Knowledge: Mr. Sullivan is experienced in developing unique solutions that improve customer loyalty and satisfaction. He was the primary architect to design and implement a joint-venture service model resulting in the retention of a key client relationship, as well as optimize a 24x7 service model enabling end-to-end operational teams. He has also established client relationships that led to new product offerings to meet the demands of a rapidly changing industry.

Mr. Sullivan has been recognized for exceptional performance in developing strategic roadmaps, driving new business and product development to support revenue growth, and effectively managing costs and process efficiencies while mitigating risk for enterprise organizations. For instance, he restructured a technology organization to remediate process inefficiencies and operational control weaknesses resulting in a high-performing team and stable, scalable and resilient operating environment. He has also managed global resources and workforce deployment teams of 500+ individuals.

Notable Accomplishments:

Mr. Sullivan has facilitated client consulting engagements for integrated partnerships as an Account Executive, handling technical implementations for top-tier broker dealers, and the negotiation and execution of enterprise deals with Wells Fargo, Merrill Lynch and Morgan Stanley. He received Thomson Financial's Award of Excellence for the implementation of SmartStation brokerage desktop technology, integrated to 100+ client web applications for Wells Fargo, and aligned with the Prudential merger and conversion/onboarding to the BETA back-office system. His role in the integrated Thomson acquisition of MIS Capital (London, UK company, Fixed Income & International Currency Trading) involved building a service bureau model's data centers' certification, both to support the service bureau solution, operational policies and procedures, and communication solutions and to present a unified BETA System and MIS System view and capabilities to end users.

Additionally, Mr. Sullivan has architected and implemented end-to-end solutions that deliver mission-critical needs for clients. His responsibilities have encompassed the assembly of a global network of Tier IV data centers to digitize international commerce, as well as the management of key IT infrastructure partnerships with technology vendors. He has designed and implemented IT Disaster Recovery for BETA Systems, co-authored BETA's back office Private Cloud solution (BETAHost), and architected and implemented the digital transformation of BETAHost application to support customers' real-time access to data and to design products that address customers' needs. Mr. Sullivan has architected end-to-end solutions, including mainframes, distributed systems, WAN, LAN and Windows servers, having led business and technology transformation from mainframe-only environments to a three-tiered solution to reduce costs, improve product delivery and scalability.

Education:

Mr. Sullivan holds a B.S. in Electrical Engineering / Computer Science from University of Wisconsin.

His other roles include Board Member & Technical Adviser - Care Right Inc.; Advisory Board Member - BMC and Compuware.