
Mr. Noland Cheng – Founder and Managing Partner, C&A Consulting LLC



Mr. Cheng is a 40+ year veteran of the financial services industry. As a senior executive, he has created and managed various business and service entities in private banking, investment management, wealth management and global investment banking firms. He has served on many securities industry committees, including the Board of Directors of Depository Trust & Clearing Corporation and the International Securities Clearing Corporation, as well as the CUSIP Board of Advisors.

Industry Knowledge: Mr. Cheng is recognized as an innovator, subject matter expert and transformational thought leader in the financial services industry helping to promote and lead changes the securities industry has executed in investment processing, banking and technology services that are foundational today. His extensive business and technical experience also resulted in his working closely with all of the major regulatory agencies in the U.S. securities, banking and consumer finance markets, many in situations requiring major remediation or restructuring of the operating structure of firms he was asked to rebuild or oversight. His understanding of how various financial businesses and products operate, combined with his grasp of straight through processing environments, has enabled him to determine and deliver highly effective operating teams and results that are both measurable and sustainable.

Experience:

- Morgan Stanley Corporation – Corporate Co-Chief Operations Officer and Managing Director - Global Wealth Management Operations.
- U.S. Trust Company / Charles Schwab Corporation – Executive Vice President, Custody and Asset Servicing Operations.
- Lewco Securities Corporation (now JP Morgan Securities) – Executive Vice President, Chief Operations Officer.
- First Albany Corporation (now Wells Fargo Securities) – Executive Vice President, Director of Operations.
- Drexel Burnham Lambert – First Vice President, Chief Operating Officer of DBL International.
- Coopers & Lybrand (now PricewaterhouseCoopers) – Senior Auditor, Financial Services Practice.

Notable Accomplishments:

- University of Pennsylvania/SIFMA Industry School, Advanced Operations Course Instructor
- Former Board Member, Asian American Legal Defense and Education Fund
- Former Board Member, Junior Achievement of New York
- National Championship Crew (Rowing) teams, Yale University and Phillips Exeter Academy

Education: B.S. in Administrative Sciences (& B.A. in Economics accreditation) – Yale University, (New Haven, CT)
M.B.A. in Finance (& M.S. Accounting accreditation) – New York University (New York, NY)

Interests: Travel, US History, Personal Investing, Cooking